MEMORANDUM

To: All organizations interested in offering Training Courses for TCHs

From: Randy Correll, Manager, Budget, Finance and General Services

Re: Requests to Issue Training Contact Hours (TCHs) for Operator License Renewal

Proposed amendments to N.J.A.C. 7:10A, Licensing of Water Supply and Wastewater Treatment Operators, were published in the New Jersey Register on July 3, 2000. The proposed amendments include a continuing education requirement for licensed operators to obtain Training Contact Hours (TCHs) in order to renew their license(s). These amendments became effective on October 2, 2000.

If you would like to submit a request to teach a course issuing TCHs, the enclosed application form should be submitted and sent, along with supporting documentation, to the Water/Wastewater Advisory Committee, c/o NJDEP Examination and Licensing Unit, PO Box 441, Trenton, New Jersey 08625-0441. (NOTE: This form can be photocopied for your use.) Submission must be made no later than 60 days prior to the proposed start of the course. You will be notified of course approvals with an assigned approval course number.

For your reference, I have also included a copy of the Advisory Committee's **POLICY FOR APPROVAL OF TRAINING CONTACT HOURS (TCHS) FOR LICENSE RENEWAL**.

Any questions of a technical nature in this matter can be addressed to the following Advisory Committee members:

Alan Dillon (Water): 609-292-5550 E-Mail: Alan.Dillon@dep.state.nj.us

Chris Hoffman (Wastewater) 609-984-6840 E-Mail: Chris.Hoffman@dep.state.nj.us

Any questions of an administrative nature in this matter can be addressed to the following Examination & Licensing Unit staff person:

Linda Cantwell: 609-777-1013

E-Mail: Linda.Cantwell@dep.state.nj.us

Thank you in advance for your anticipated cooperation in this matter.

POLICY FOR APPROVAL OF TRAINING CONTACT HOURS (TCHs) FOR LICENSE RENEWAL

Section 1: Policy Objectives & Approval Requirements-This policy, developed by the Advisory Committee on Water Supply and Wastewater Licensed Operator Training (Advisory Committee), establishes criteria for renewal training to ensure that the training is under responsible sponsorship, capable direction, and qualified instructors. The criteria established are the minimum requirements and are meant to be consistent with the intent of the International Association for Continuing Education and Training Council (IACET). This document outlines, at a minimum, the training acceptable for awarding Training Contact Hours (TCHs) for operator license renewal requirements.

There are four ways that the Advisory Committee may approve training for the issuing of acceptable TCHs.

- a) The organization conducting training issues Continuing Education Units (CEUs) and meets the criteria established for the use of the CEU as established by the IACET.
- b) The organization conducting the training has had their training program approved by the Advisory Committee for issuing TCHs. A training program consists of more than one training course, in a group, offered by a single organization.
- c) The individuals or organization conducting training have been approved by the Advisory Committee to conduct specific training courses for issuing TCHs.
- d) The training program or specific training is cosponsored or sanctioned by an organization which has had their training program approved by the Advisory Committee for conducting training and issuing credit towards certification renewal.

Section 2: Criteria for Approving Training Programs, Institutions, Colleges, Associations, Companies or Other Organizations Issuing CEUs- Training programs or organizations having direct application to the water supply and/or wastewater field and meeting the criteria of IACET, formerly the Council of the Continuing Education Unit, for the use of Continuing Education Units (CEUs) will not need approval of the Advisory Committee for issuing CEUs to be credited towards meeting the renewal training requirements. These training programs or organizations can also cosponsor or sanction other renewal training programs. Programs which have been approved for TCHs of CEUs for the purpose of renewing water or wastewater licenses by the appropriate regulatory agency of another state, will be accepted for the purposes of renewing these licenses in the State of New Jersey. The Advisory Committee must approve any other training program.

Section 3: Criteria for Training Programs

a) National, regional, state or other organizations, institutions, associations, corporations, etc. (governmental or private) involved in a wastewater or drinking water supply related field conducting, cosponsoring or sanctioning training, seminars, workshops or meetings, but not presently issuing CEUs, may apply to the Advisory Committee for approval of their training program. To obtain training program approval, the organization must submit to the Advisory Committee for review their training criteria which should, at a minimum, include the following information:

- (1) The standards, by which the organization plans to meet the criteria of this policy, especially, to all intents and purposes, the criteria for approval of individual training courses to be part of the training program.
- (2) Criteria for individual training program approval of courses to be included.
- (3) A written policy on maintaining records; and
- (4) A mission statement that outlines the functions, structure, processes, and philosophy which guides the operations of the continuing education or training program
- b) If granted, this approval will allow the organization to issue TCHs and course numbers for training courses without individual course preapproval. These TCHs can be used towards meeting the New Jersey Department of Environmental Protection's (NJDEP's) license renewal training requirements, provided that the training criteria is strictly enforced and/or not substantially modified.
- c) An annual training curriculum and/or agenda and course syllabus must be submitted to the Advisory Committee at the beginning of each calendar year. This agenda of seminars, meetings, workshops, etc. may be updated at any time as courses are added.
- d) Any changes or modifications to the information submitted to the Advisory Committee for training program approval shall be submitted to the Advisory Committee for review and approval at least sixty (60) days prior to the date of training.

Section 4: Criteria for Individual Training Courses

- a) Any individual or organization wishing approval to conduct a renewal training course for water supply and/or wastewater operators shall submit the following to the Advisory Committee for review. (This excludes those organizations issuing CEUs or those organizations, which demonstrated, to the Advisory Committee that they have met the intent of sections (1) through (14) below). This information should be submitted to the Advisory Committee at least sixty (60) days prior to the training program:
 - (1) The date, location of the training and description of the training facility;
 - (2) The name, address and telephone number of person(s) to contact regarding training;
 - (3) The course outline showing the topic(s) to be presented and time allotted for each (including beginning and ending times). The outline should indicate how this program will meet the needs of a licensed water and/or wastewater system operator. Both the learner and the instructor should have a clear understanding of the intended outcomes and how they are to be achieved. Content should be sequenced in a logical manner and should proceed from basic to advanced levels;
 - (4) A copy of the instruction material showing the skills and knowledge that the learner will be able to demonstrate following completion of the program;
 - (5) A list of any audiovisual materials to be used, such as videotapes, slides, slide/tape presentation, films and overheads;
 - (6) The name, address, and background information or resume of the instructor(s) which shows the instructor's competence in the subject matter, understanding of the purposes and the intended learning outcomes of the program and ability to communicate the program content at an appropriate level.
 - (7) The name of proctor and affiliation. A proctor must be a person affiliated with and identified by an organization involved in the water supply and/or wastewater related field. The organization must have an educational unit or arm which is recognized and/or approved by the Advisory Committee for conducting renewal training and issuing TCHs.

- (8) A copy of the certificate of completion to be issued to the attendees. The certificate should contain the following information:
 - (a) attendee's name
 - (b) course name
 - (c) number of TCHs issued
 - (d) NJDEP course identification number
 - (e) course dates
 - (f) name of course instructor
 - (g) name of the cosponsoring or sanctioning organization, if applicable; and
 - (h) name and affiliation of proctor
 - (i) social security number
 - (j) signature of person authorized to grant TCHs
- (9) The number of TCHs to be issued.
- (10) A copy of the attendance roster to be used, which must contain but is not limited to, the following information:
 - (a) Name of the course
 - (b) TCHs issued
 - (c) NJDEP Course identification number
 - (d) Date and time the course was held
 - (e) Location of the course
 - (f) Name of course instructor
 - (g) Names of attendees
 - (h) Morning and afternoon section for signing in. If the course is for more than one day, the roster must show each day of attendance;
 - (i) Operator license number of all attendees
 - (i) Proctor affidavit
 - (k) Social security number
- (11) A copy of all handouts or course material.
- (12) A written policy on maintaining records must be provided showing the record keeping criteria of the organization conducting the training and issuing TCHs towards operator license renewal.
- (13) A copy of the evaluation form which measures the quality of the training.
- (14) Requirements for satisfactory completion of the training must be established in writing. Participants should be informed of the requirements for satisfactory completion prior to their participation. The requirements must be based on a combination of performance and attendance. Attendance requirements must be 90 percent and above and documented by attendance rosters or sign-in sheets. Only those who meet the specified requirements shall earn TCHs.
- b) Renewal credit is based upon actual contact time in the training. All breaks and meal times must be noted on the agenda and will not count toward contact time. Excessive time allotted for introduction or welcomes will not count toward TCHs.
- c) No credit shall be given prior to the completion of any training course. Participants must attend and stay for the entire session to satisfactorily complete the program. The completion day of a multi-day course is the last day of the course.
- d) Renewal credit will be issued in increments of one-half (0.5) hour. The minimum credit issued, for any one course, will be one (1) TCHs. Any organization, meeting IACET

criteria, issuing CEUs for approved training, will be given credit at the rate of one (1.0) CEU per ten (10) TCHs. Water and wastewater treatment plant tours my be submitted for approval for TCH's, at 1/2 hour for each hour of activity.

- e) A permanent record of participation must be maintained for each individual to whom TCHs are awarded. These records must be readily available to the individual and/or the NJDEP upon request. The maintenance of permanent records is the responsibility of the organization conducting, sponsoring or sanctioning the program and awarding the CEUs or TCHs. While records may be maintained by the organization or a contracted service, the organization awarding the credits bears the primary responsibility for maintenance and availability of permanent records. All records must be maintained for a minimum of seven (7) years and are to be issued as an official statement or transcript upon request of the participant or the NJDEP. Further, the organization conducting, sponsoring or sanctioning training must establish in writing provisions for permanent maintenance of continual education records in the event of its demise.
- Section 5. Sanctioned or Cosponsored Training- TCHs may be issued for operator license renewal upon successful completion of training, seminars, workshops or meetings that are cosponsored or sanctioned by an organization that has had their training program approved by the Advisory Committee. The TCHs shall be jointly issued with the sanctioning or cosponsoring organization. The cosponsoring or sanctioning organization must ensure that the administrative and program criteria are followed for issuing TCHs. The organization must verify that each participant has (or has not) met the specified requirements for satisfactory completion and is (or is not) awarded the TCHs. Permanent individual records, for each participant who successfully completes the training, must be established and maintained by the organization cosponsoring or sanctioning the training.
- Section 6: Subsequent Courses- Once the course has been approved, the Department will issue a course number. The course information does not have to be resubmitted for subsequent sessions of that course provided that there are no major changes in the course content, time allotted, instructor or material used. Any revision must be submitted to the Advisory Committee sixty (60) days in advance of any subsequent sessions of the approved course. The Advisory Committee Chair may waive the sixty (60) day requirement in exceptional situations as determined by the Chair. All changes will be included in the Advisory Committee's minutes.
- Section 7: <u>Course Advertisement</u>- All training courses must be approved and issued a course identification number by the Department before the advertisement of training for renewal credit. All advertisements, which state that the training is approved, must include the course identification number, the amount of training contact hours issued and what license(s) category it is approved for. Organizations issuing CEUs or college courses are exempt.

Section 8: <u>Granting TCHs</u>

- a) A licensed operator taking and passing a water or wastewater licensing examination required by NJDEP, during the three (3) year training tracking period shall be granted 7 TCHs for the license category for which the exam was taken.
- b) A licensed operator who is a member of the New Jersey Section American Water Works Association or New Jersey Water Environment Association will be issued one (1) TCH for each year or any part of a year of membership during the three (3) year training tracking period. A licensed operator who is a member of any local organization or any out of state organization involved in the water supply and/or wastewater field and recognized by the Advisory Committee will be issued 0.5 TCHs for each year of membership during the three (3) year training tracking period. Evidence of membership may be in the form of dated membership cards or certificates issued by the organization.

- c) No more than three (3) TCHs will be issued to any one licensed operator in any three (3) year training tracking period for membership organizations involved in the water supply and/or wastewater field, as appropriate.
- d) A certified operator attending a New Jersey Department of Environmental Protection, United States Environmental Protection Agency, or any other State, Federal or local organization course or workshop, which are submitted and meet the criteria of this policy will be granted TCHs as awarded.
- e) Certified operators attending in-house training programs that are cosponsored or sanctioned by an Advisory Committee approved organization or association, will be granted TCHs as awarded.
- f) A certified operator attending an Advisory Committee approved in-house training, seminar or workshop that imparts general or technical information which is applicable to the professional or technical water supply and/or wastewater field and will be of value wherever the individual is employed, will be granted TCHs as awarded.
- g) A certified operator attending a first aid or CPR course, which is either Red Cross approved or conducted, cosponsored or sanctioned by an Advisory Committee recognized organization will receive up to 3 TCHs for each training tracking period. No more than 1/3 of the required number of TCHs for any three-year training tracking period shall be for safety and/or first aid type training.
- h) Courses granted CEUs will be issued TCHs on a basis of one (1.0) Continuing Education Unit (CEU) = ten (10) Training Contact Hours (TCHs).
- College credit for courses which are directly relevant to the operation, maintenance or management of a wastewater or water system and which address influences on water quality, public health or environmental protection will be issued 15 TCHs for each credit hour.
- j) Instructors **shall not** receive TCHs for training.
- k) An operator cannot earn TCHs for the same course repeated in any three-year training tracking period.
- 1) The Advisory Committee will not grant approval for courses with less than 1 (one) TCHs
- Section 9: Revocation of Approval- The Advisory Committee may recommend the revocation any training approval at any time if it is the opinion of the Advisory Committee that the training program or course no longer meets the criteria established in this policy.
- Section 10: <u>Waivers</u>- In exceptional circumstances, the Advisory Committee Chair may recommend waiving any requirement of this policy. All waivers are subject to ratification by the Advisory Committee and shall be included in the minutes of the Advisory Committee meeting.

TCH Course Request Checklist

- The date, location of the training and description of the training facility.
- The name, address and telephone number of person(s) to contact regarding training.
- ◆ The course outline showing the topic(s) to be presented and time allotted for each (including beginning and ending times). The outline should indicate how this program will meet the needs of a licensed water and/or wastewater system operator. Both the learner and the instructor should have a clear understanding of the intended outcomes and how they are to be achieved. Content should be sequenced in a logical manner and should proceed from basic to advanced levels
- A copy of the instruction material showing the skills and knowledge that the learner will be able to demonstrate following completion of the program.
- A list of any audiovisual materials to be used, such as videotapes, slides, slide/tape presentation, films and overheads.
- ♦ The name, address, and background information or resume of the instructor(s) which shows the instructor's competence in the subject matter, understanding of the purposes and the intended learning outcomes of the program and ability to communicate the program content at an appropriate level.
- ♦ The name of proctor and affiliation. A proctor must be a person affiliated with and identified by an organization involved in the water supply and/or wastewater related field. The organization must have an educational unit or arm which is recognized and/or approved by the Advisory Committee for conducting renewal training and issuing TCHs.
- ◆ A copy of the certificate of completion to be issued to the attendees. *
- The number of TCHs to be issued.
- ♦ A copy of the attendance roster/sign-in sheet to be used. *
- A copy of all handouts or course material.
- ♦ A written policy on maintaining records must be provided showing the record keeping criteria of the organization conducting the training and issuing TCHs towards the operator license renewal. *
- ◆ A copy of the evaluation form which measures the quality of the training. *
- ♦ Requirements for satisfactory completion of the training must be established in writing. Participants should be informed of the requirements for satisfactory completion prior to their participation. The requirements must be based on a combination of performance and attendance.
- * Samples of these documents are attached to this checklist.

 These standard forms may be used, or you may create your own.

 If you choose to create you own documents, please refer to Section #4 of the enclosed copy of "Policy for Approval of Training Contact Hours TCHs for License Renewal", for a detailed list of information that is required on these documents.

MAINTENANCE OF COURSE RECORDS

As required by the Water & Wastewater Advisory committee on Operator Training's policy governing the approval of course providers to issue Training Contact Hours (TCH's) and in accordance with the International Association for Continuing Education and Training (IACET) standards, I agree to maintain course records for a period of no less than seven (7) years. NJDEP may obtain copies of course records by contacting the individual Indicated below. NJDEP must be notified of any change as to how these records may be obtained.

Person to contact:	
Phone number:	
Email:	
Course Coordinator:	
Signature:	Date:

TRAINING COURSE EVALUATION FORM

COURSE TITLE:				D.	ATE:			
Please "grade" this course in the follo	wing a	reas, us	sing the	e follov	ving format:			
$\mathbf{E} = \mathbf{Excellent}$	G	= Good	i	$\mathbf{F} = \mathbf{Fair}$ $\mathbf{U} = \mathbf{Unsatisfactory}$				
	E	G	F	U	Со	mments (please	print)	
Achieved course objectives								
Overall content								
Usefulness of information								
Stimulated interest in the topic area								
Teaching aids (audio-visual, handouts, etc.)								
Facilities								
The pace of the program was (circle o	ne):	Тос	Slow	F	About Right	Too Fast	Varied	_
Would you recommend this program/o	course	to an a	ssociat	e? (ciro	ele one)	YES	S	NO
Why did you register for this course?								
What were the most useful or relevant	aspect	s of th	e cours	se?				
What were the least useful or relevant	aspect	s of the	e cours	e?				
Was the instructor's presentation of th	e cour	se mate	erial ad	equate	? Why or w	hy not?		
Is there anything that could be added t	o mak	e this c	ourse l	etter?				
Please add any additional comments the more space):	hat you	may h	ave re	garding	g the course	(Use the back of	this page if y	ou need

Name of the cosponsoring or sanctioning organization (if applicable)

CERTIFICATE OF COMPLETION

This certificate is awarded to Name of Attendee Social Security # of Attendee For the completion of Name of Course NJDEP Course ID# Course Date(s) Number of TCH's issued Course Coordinator's signature (person authorized to grant TCH's) Name and affiliation of Course Instructor

WATER/WASTEWATER TRAINING COURSE ATTENDANCE ROSTER

If the course is more than one day, the roster must show each day of attendance

Name of Course:	NJDEP (NJDEP Course ID#:					
TCH's Issued:	Instructo	Instructor's Name:					
Course Location: Date and Time of Course:							
Name of Attendee	Operator's License #	Social Security #	AM Time In	AM Time Out	PM Time In	PM Time Out	

Proctor's Signature (affidavit verifying student attendance)

Advisory Committee on Water Supply and Wastewater Licensed Operator Training REQUEST TO ISSUE TRAINING CONTACT HOURS (TCHs) FOR OPERATOR LICENSE RENEWAL

<u>Instructions:</u> The following information must be provided together with appropriate documentation (**See attached Checklist**) and submitted to the Advisory Committee for review to the Department of Environmental Protection, Examinations & Licensing Unit, PO Box 441, Trenton, NJ 08625-0441 (Phone: 609-777-1013).

Name/Ass	sociation:						
Program/0	Course Title:						
This cours	se is in (circle all that	apply):	Water	Was	stewater	Safety	Red Cross
	Individual course approv with an approved training				* *	01	, i
Date(s): _]	Location(s): _				
Course Co	oordinator:		Pł	none/Fax	k/Email:		
Address:							
Number o	f TCHs for the course):					
Instructor	·		I	hone:			
Address:							
Proctor: _			I	Phone: _			
Address:	lress: Affiliation:						
and Waste Renewal.	ewater Licensed Oper By signing this ap	rator Traini plication, tonducive to	ng's <i>Policy for</i> he training collearning, under	<i>Appro</i> ourse co	<i>val of Train</i> ordinator ac	<i>ing Contact H</i> eknowledges	mmittee on Water Supply Hours (TCHs) for License that the training will be d course instructor(s), and
Course Co	oordinator (please prin	nt)			Signature		Date
THIS BO	X FOR DEP USE.						
Date Received	Evaluated by	Date Evaluated	Board Appro	oval	Number of TCHs		File Number